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(A joint stock company incorporated in the People's Republic of China with limited liability)
(Stock code: 06881)

ANNOUNCEMENT
APPOINTMENT OF CHIEF COMPLIANCE OFFICER

The board of directors (the “**Board**”) of China Galaxy Securities Co., Ltd. (the “**Company**”) wishes to announce that Mr. Liang Shipeng has been appointed as the Chief Compliance Officer and a member of the Executive Committee of the Company. His term of office will end on the expiry of the term of the third session of the Board.

The biographical details of Mr. Liang Shipeng are set out below:

Liang Shipeng, male, born in November 1969, served as a staff member of the Investing Banking Department of Hainan Securities Company Limited and a staff member of Qinghai Securities Management Office from September 1994 to December 1998. From December 1998 to May 2002, he served as a senior staff member, principal staff member and deputy division chief of the Listed Company Regulatory Division of Xining Special Commissioner’s Office of the China Securities Regulatory Commission (the “**CSRC**”). From May 2002 to March 2004, he was the deputy division chief and division chief of the Institution Regulatory Division of Xining Special Commissioner’s Office of the CRSC. From March 2004 to December 2007, he served as the division chief of the Institution Regulatory Division of the Qinghai Regulatory Bureau of the CSRC. From December 2007 to February 2011, he served as a member of the Party Committee and the deputy chief of the Tibet Regulatory Bureau of the CSRC. From February 2011 to December 2014, he served as a member of the Party Committee, the secretary of the Discipline Inspection Commission and deputy chief of the Qinghai Regulatory Bureau of the CSRC. From December 2014 to May 2019, he was the secretary of the Party Committee and chief of the Qinghai Regulatory Bureau of the CSRC. Mr. Liang Shipeng graduated from Northwest University, and obtained a bachelor’s degree in economics in July 1994 and an MBA degree in June 2006. Mr. Liang Shipeng holds a professional title of economist.

By order of the Board
China Galaxy Securities Co., Ltd.
Chen Gongyan
Chairman and Executive Director

Beijing, the PRC
8 July 2019

As at the date of this announcement, the executive Director of the Company is Mr. CHEN Gongyan (Chairman); the non-executive Directors are Mr. LIU Dingping, Ms. XIAO Lihong, Mr. ZHANG Tianli and Ms. WANG Zelan; and the independent non-executive Directors are Mr. LIU Ruizhong, Mr. WANG Zhenjun and Ms. LIU Chun.