

## **LIST OF DIRECTORS AND THEIR ROLES AND FUNCTIONS**

With effect from 29 June 2020, the board of Directors of China Galaxy Securities Co., Ltd. comprises 10 Directors as follows:

### **Executive Directors:**

Mr. Chen Gongyan (*Chairman*)

Mr. Chen Liang (*Vice Chairman and President*)

### **Non-executive Directors:**

Mr. Liu Dingping

Ms. Xiao Lihong

Mr. Zhang Tianli

Ms. Wang Zelan

### **Independent Non-executive Directors:**

Mr. Liu Ruizhong

Mr. Wang Zhenjun

Ms. Liu Chun

Mr. Law Cheuk Kin Stephen

There are 4 Board committees. The table below provides membership information of these committees on which the Board member serves.

<b>Board Committee</b> <b>Directors</b>	<b>Strategy and Development Committee</b>	<b>Audit Committee</b>	<b>Nomination and Remuneration Committee</b>	<b>Compliance and Risk Management Committee</b>
Mr. Chen Gongyan	C			
Mr. Chen Liang	M			M
Mr. Liu Dingping	M		M	C
Ms. Xiao Lihong	M			M
Mr. Zhang Tianli	M	M		M
Mr. Liu Ruizhong	M	M	C	
Mr. Wang Zhenjun	M	M	M	M
Ms. Liu Chun		C	M	M
Ms. Wang Zelan				M
Mr. Law Cheuk Kin Stephen		M	M	

*Notes:*

C Chairman of the relevant Board committees

M Member of the relevant Board committees

29 June 2020